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|   |                        |   |
|---|------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications<br>under 37 CFR 1.53(b)) | Attorney Docket No.    | 480140.457C1  |
|   | First Inventor         | R bert J. Ternansky   |
|   | Title                  | INHIBITORS OF THE ICE/ced-3 FAMILY<br>OF CYSTEINE PROTEASES |
|   | Express Mail Label No. | EL615486041US   |


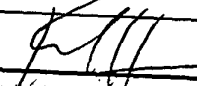
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| 1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br>Submission (if applicable, all necessary)  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>76</u> ]<br>(preferred arrangement set forth below)<br>- Descriptive title of the Invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table,<br>or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. <input type="checkbox"/> Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies  |
| 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>  </u> ]   | 9. <input type="checkbox"/> ASSIGNING APPLICATION PARTS   |
| 5. <input type="checkbox"/> Oath or Declaration [Total Sheets <u>  </u> ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br>(for a continuation/divisional with Box 18 completed)<br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).   | 10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of<br>(when there is an assignee) Attorney<br>12. <input type="checkbox"/> English Translation Document (if applicable)<br>13. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>14. <input checked="" type="checkbox"/> Preliminary Amendment<br>15. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>Should be specifically itemized<br>16. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>17. <input type="checkbox"/> Request and Certification under 35 U.S.C.<br>Applicant must attach form 122(b)(2)(B)(i).<br>PTO/SB/35 or its equivalent.<br>Other: <u>  </u> |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) ☐ of prior application No. 09/482,813

Prior application information Examiner David Lukton Group Art Unit: 1653

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|   |   |                                      |  |
|---|---|--------------------------------------|--|
| 19. CORRESPONDENCE ADDRESS                            |   |                                      |  |
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| Firm Name   | or:   |                                      | <input checked="" type="checkbox"/> Customer Number or Bar Code Label  |
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| City, State, Zip                                      |   |                                      |  |
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| Telephone   |   |                                      |  |
| Name (Print/Type)                                     | Karl R. Hermanns  | Registration No.<br>(Attorney/Agent) | 33,507   |
| Signature   |  | Date <u>7/18/01</u>                  | July 18, 2001  |

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